

Divisional Application  
Docket No. 50623.330  
Copy from USSN 09/896,000

PTO/SB/01 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032  
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**DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**

Declaration Submitted  Declaration Submitted after Initial  
With Initial OR Filing (surcharge  
Filing (37 CFR 1.16 (e))  
required)

Attorney Docket Number	50623.00117 (2951)
First Named Inventor	Stephen D. Pacetti, et al.
<b>COMPLETE IF KNOWN</b>	
Application Number	Unknown
Filing Date	June 28, 2001
Group Art Unit	Unknown
Examiner Name	Unknown

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**A STENT MOUNTING DEVICE AND A METHOD OF USING THE SAME TO COAT A STENT**

the specification of which *(Title of the Invention)*

is attached hereto

OR

was filed on (MM/DD/YYYY)  as United States Application Number or PCT International

Application Number  and was amended on (MM/DD/YYYY)  (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims as amended specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY) Country	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

## DECLARATION — Utility or Design Patent Application

Direct all correspondence to: <input type="checkbox"/> Customer Number or Bar Code Label		<input type="checkbox"/> Correspondence address below	
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City	State	ZIP	
USA	650.856.6500	650.843.8777	
Country	Telephone	Fax	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.			
NAME OF SOLE OR FIRST INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name Stephen D. (first and middle [if any])		Family Name Pacetti	
Inventor's Signature <i>Stephen Pacetti</i>		Date 6/25/2001	
San Jose	California	USA	USA
Residence: City	State	Country	Citizenship
4578 Madoc Way			
Mailing Address			
San Jose	California	95130	USA
City	State	Zip	Country
NAME OF SECOND INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name Plaridel K. (first and middle [if any])		Family Name Villareal	
Inventor's Signature <i>Plaridel Villareal</i>		Date 6-25-01	
San Jose	California	USA	USA
Residence: City	State	Country	Citizenship
7477 Pegasus Court			
Mailing Address			
San Jose	California	95139	USA
City	State	Zip	Country
<input type="checkbox"/> Additional inventors are being named on the		supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.	

Divisional Application >

Please type or print signature inside this box.

Docket No. 50623.330

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PTO/SB/81 (02-01)

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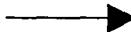
**POWER OF ATTORNEY OR  
AUTHORIZATION OF AGENT**

Application Number	Unknown
Filing Date	
First Named Inventor	Stephen D. Pacetti
Group Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	50623.00117 (2951)

I hereby appoint:

Practitioners at Customer Number  
OR

Practitioner(s) named below:



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Name/ Registration Number

Marc A. Sockol, Reg. No. 40,823; Daryl C. Josephson, Reg. No. 37,365; Arnold de Guzman, Reg. No. 39,955, Cameron Kerrigan, Reg. No. 44,826; Patrick D. Benedicto, Reg. No. 40,909; David B. Abel, Reg. No. 32,394; Nathan Lane, Reg. No. 43,738; Lorinda Howland, Reg. No. 42,671; Michael Lechter, Reg. No. 27,350; David Koo, Reg. No. 46,839; David Rogers, Reg. No. 38,287; William Bachand, Reg. No. 34,980; Aaron Wininger, Reg. No. 45,229; Paul A. Durdik, Reg. No. 37,819; Paul J. Meyer 47,791; Victoria L. Nicholson, Reg. No. 47,823; and Fariba Sirjani, Reg. No. 47,947.

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the Patent and Trademark Office connected therewith.

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I am the:

Applicant/Inventor.

Assignee of record of the entire interest. See 37 CFR 3.71.

Certificate under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

**SIGNATURE of Applicant or Assignee of Record**

Name Stephen D. Pacetti

Signature Stephen Pacetti

Date June 25, 2001

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

**SIGNATURE of Applicant or Assignee of Record**

Name Plaridel K. Villareal

Signature Plaridel Villareal

Date 6-25-01

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

\*Total of 3 forms are submitted.

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50623.117  
UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

SEPTEMBER 19, 2001

PTAS

SQUIRE, SANDERS & DEMPSEY, L.L.P.  
VICTORIA L. NICHOLSON  
600 HANSEN WAY  
PALO ALTO, CA 94304-1043



\*101778747A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 06/28/2001

REEL/FRAME: 011971/0552  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

PACETTI, STEPHEN D.

DOC DATE: 06/25/2001

ASSIGNOR:

VILLAREAL, PLARIDEL K.

DOC DATE: 06/25/2001

ASSIGNEE:

ADVANCED CARDIOVASCULAR SYSTEMS,  
INC.  
3200 LAKESIDE DRIVE  
SANTA CLARA, CALIFORNIA 95054

SERIAL NUMBER: 09896000

FILING DATE: 06/28/2001

PATENT NUMBER:

ISSUE DATE:

DATES ENTERED

No Action

PEARLENE FOSTER, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

OCT 01 2001

CALENDARED

BY TWL

ATTORNEY

SQUIRE, SANDERS & DEMPSEY

## Divisional Application

Express Mail Label No.: EL J13604949 Docket No. 50623.330 Attorney Docket No.: 50623.00117 (2951)

Form PTO-1595

(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)

RECOR

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07-17-2001

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U.S. Patent and Trademark Office

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To the Honorable Commissioner of Paten



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Original documents or copy thereof.

09/896,000  
06/28/01  
JC996000  
06/28/01

## 1. Name of conveying party(ies):

Stephen D. Pacetti  
Plaridel K. Villareal

16/29/01

## 2. Name and address of receiving party(ies)

Name: Advanced Cardiovascular Systems, Inc.

Internal Address: 3200 Lakeside Drive

Additional name of conveying party(ies) attached?  Yes  No

## 3. Nature of conveyance:

 Assignment Merger Security Agreement Change of Name Other \_\_\_\_\_

Execution Date: June 25, 2001

Street Address: 3200 Lakeside Drive

City: Santa Clara State: CA Zip: 95054

Additional Name(s) & address(es) attached?  Yes  No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: June 28, 2001

A. Patent Application No.(s)

B. Patent No.(s)

09/896,000

Additional numbers attached?  Yes  No

## 5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: Victoria L. Nicholson, Reg. No. 47,823

Internal Address: Squire, Sanders &amp; Dempsey, L.L.P.

600 Hansen Way

Street Address: 600 Hansen Way

City: Palo Alto State: CA Zip: 94304-1043

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) .... \$ 40.00

 Enclosed Authorized to be charged to deposit account

8. Deposit account number:

05-0150

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Victoria L. Nicholson, Reg. No. 47,823

Name of Person Signing

June 28, 2001

Date

Total number of pages including cover sheet, attachments, and documents: 3

07/16/2001 6TON11 00000105 050150 09896000

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Divisional Application  
Docket No. 50623.330  
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PATENT  
Attorney Docket No. 50623.00117 (2951)

(1-2) *Insert Name(s) of Inventor(s)*

(1) Stephen D. Pacetti (2) Plaridel K. Villareal

ASSIGNMENT

For good and valuable consideration receipt of which is hereby acknowledged, the undersigned agree(s) to assign, and hereby do(es) assign, transfer and set over to:

(9) *Insert name of Assignee* (9) Advanced Cardiovascular Systems, Inc.

(10) *Insert state of incorporation of Assignee* (10) California

(11) *Insert address of Assignee* (11) of 3200 Lakeside Drive, Santa Clara, California 95054  
(hereinafter designated as the Assignee) the entire worldwide right, title and interest in the invention known as

(12) *Insert Identification of Invention, such as Title, Case Number or Foreign Application Number* (12) A STENT MOUNTING DEVICE AND A METHOD OF USING THE SAME TO COAT A STENT  
(Case No. 50623.00117) (Serial No. Unassigned) for which the undersigned has (have) executed an application for patent in United States of America

(13) *Insert Date of Signing of Application* (13) on June 25, 2001

1) The undersigned agree(s) to execute all papers necessary in connection with the application and any continuing or divisional applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

2) The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation or division thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.

3) The undersigned agree(s) to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

4) The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.

5) The undersigned hereby authorize(s) and request(s) the Commissioner for Patents and the duly constituted authorities of foreign countries to issue any and all Letters Patents resulting from said application or any division or divisions or continuing or reissue applications thereof to the said Assignee, its successors and assigns, as Assignee of the entire right, title and interest, and hereby covenants that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) not executed and will not execute, any agreement in conflict herewith.

6) *The undersigned hereby grant(s)*

Marc A. Sockol, Reg. No. 40,823; Daryl C. Josephson, Reg. No. 37,365; Arnold de Guzman, Reg. No. 39,955, Cameron Kerrigan, Reg. No. 44,826; Patrick D. Benedicto, Reg. No. 40,909; David B. Abel, Reg. No. 32,394; Nathan Lane, Reg. No. 43,738; Lorinda Howland, Reg. No. 42,671; Michael Lechter, Reg. No. 27,350; David Koo, Reg. No. 46,839; David Rogers, Reg. No. 38,287; William Bachand, Reg. No. 34,980; Aaron Wininger, Reg. No. 45,229; Paul A. Durdik, Reg. No. 37,819; Paul J. Meyer P47,791; Victoria L. Nicholson, Reg. No. 47,823; and Fariba Sirjani, Reg. No. P47,947.

*the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.*

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PATENT  
Attorney Docket No. 50623.00117 (2951)

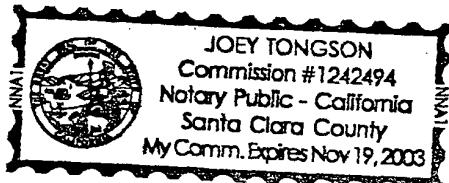
Date June 25, 2001

Stephen Pacetti  
Stephen D. Pacetti

STATE OF CALIFORNIA )  
 ) ss.  
COUNTY OF )

On JUNE 25, 2001 before me, JOEY TONGSON, personally Stephen D. Pacetti, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal



Joe Tongson  
Notary Public

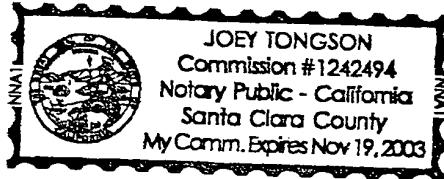
Date 6-25-01

Plaridel Villareal  
Plaridel K. Villareal

STATE OF CALIFORNIA )  
 ) ss.  
COUNTY OF )

On JUNE 25, 2001 before me, JOEY TONGSON, personally Plaridel K. Villareal, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal



Joe Tongson  
Notary Public